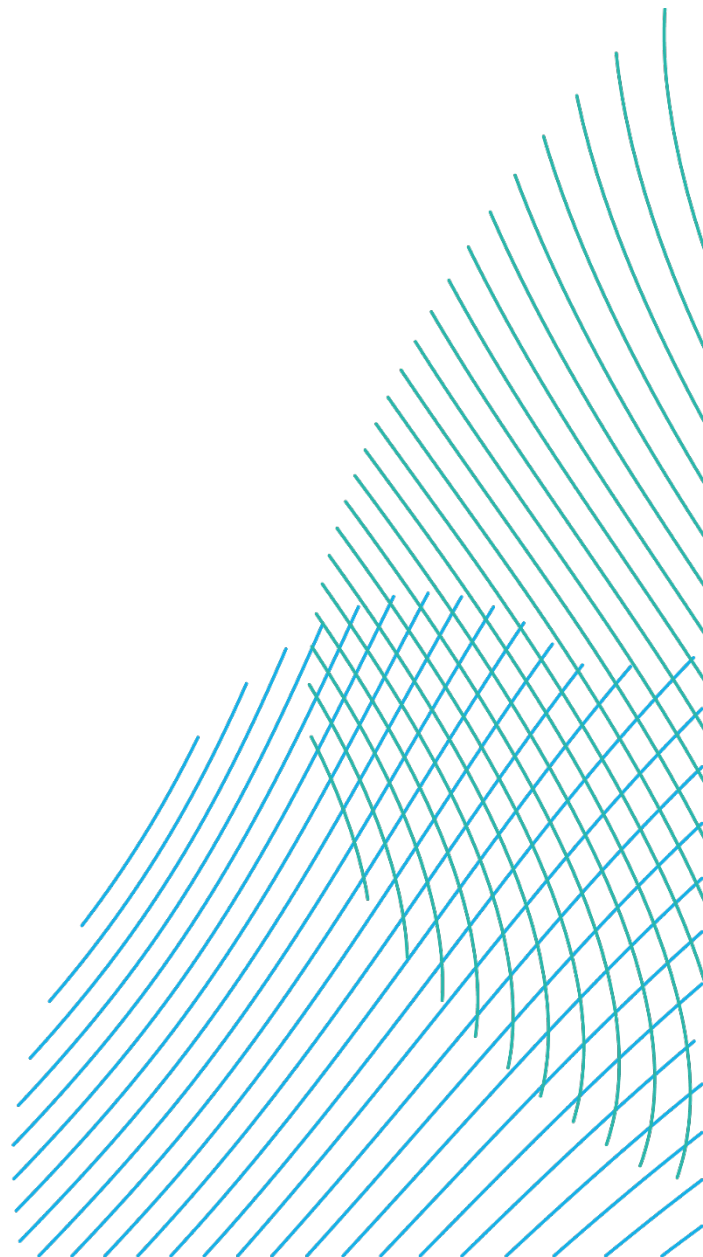


RWE

Sustainable Supply Chain Management



RWE

1. Is your company Supplier Code of Conduct integrated in purchase contract clauses?

Yes, our Supplier Code of Conduct, as well as the Policy Statement on RWE's Human Rights Strategy and the RWE Human Rights Contract Clause are integrated in relevant purchase contract clauses.

2. Does your company publish a sustainability report?

Yes, RWE discloses sustainability information, e.g. in a nonfinancial report/statement and its sustainability report. You can find an overview and archive of the latest sustainability disclosures [here](#).

3. What activities your company has in place to ensure responsible supply chains?

Risk analysis for the supply chains has been implemented across RWE through a two-stage process. In the first step, cluster risks are assessed annually by country and industry according to § 5 LkSG. The result differentiates between low-, medium-, and high-risk clusters. In the second step, an individual check is carried out for all direct suppliers who are subject to the law according to § 2 (5) LkSG. The checks are supported by the external vendor IntegrityNext, which is used throughout the RWE group. In case of findings, RWE assesses each individual case and decides which measures to implement, ranging from in-depth research to on-site inspections and possible subsequent contractual clauses amendments covering necessary improvements.

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4. Is your company part of external sustainability rankings?

Yes, RWE's non-financial performance in the area of Environment, Social and Governance (ESG) is assessed by various rating agencies (e.g. MSCI ESG, Sustainalytics, ISS or CDP). This helps investors and potential business partners in their decision-making. The detailed evaluation of the results also helps us to identify potential for improvement in various areas. You will find an overview of our results [here](#).

Human rights and international labour standards

5. Has your company appointed a Human Rights Officer according to § 4 (3) LkSG?

Yes, Human Rights Officers have been appointed for each of the RWE Group companies, who will monitor and control the risk management for RWE Group companies and inform their respective Boards at least once a year about the results. Kunal Chandra, Director Strategy & Sustainability RWE AG, has been appointed Human Rights Officer for the RWE group. Dr. Hendrik Voß, Head of Sustainability RWE Supply & Trading GmbH, has been appointed Human Rights Officer for RWE Supply & Trading GmbH.

6. Does your company have a supplier code of conduct or similar requirements towards its suppliers?

Yes, our company has adopted the RWE Code of Conduct, which follows our principles of conduct, including but not limited to Human Rights, Labour Standards and Environmental aspects.

7. Has your company released a policy statement on its human rights strategy according to § 6 (2) LkSG?

Yes, our company has released the Policy Statement on RWE's Human Rights Strategy has been released in accordance with § 6 paragraph 2 LkSG.

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8. Has your company anchored contractual assurances from a direct supplier that it will comply with the human rights and environmental expectations required by the company's management and adequately address them along the supply chain according to §6 (4) Nr. 2 LkSG?

Yes, the RWE "Human Rights Contract Clause" was formulated in accordance with the requirements of § 6 (4) No. 2 LkSG and is integrated into our relevant contracts as of 1 January 2023.

9. Has your company committed to the UN Global Compact ?

Yes, since January 2004, the RWE Group has been a member of the "United Nations Global Compact" (UNGC) initiated by the former General Secretary of the UN Kofi Annan. As a signatory to the ten principles underlying the UNGC, RWE has expressly renewed its commitment to uphold human rights and international labour standards, promote environmental protection in its business activities and prevent corruption.

RWE supports the UNGC and aims to contribute to the worldwide adoption of its ten principles. Due to the fact that human rights and environmental protection are not yet accorded the necessary importance at the global level, it is particularly important for us to lead by example. So those ten principles form the basis of our RWE Code of Conduct and the general principles of good conduct contained in that code. In addition, we incorporate the principles in our business processes and apply specific measures to implement them. In our annual CR report, which also serves as a progress report, we make it clear which measures we have taken within the reporting period and state the concrete results we have achieved. In applying those ten principles, we are also helping to achieve the Sustainable Development Goals (SDGs) set by the United Nations in 2015.

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10. Does your company have policies on Health & Safety issues?

Yes, we have the Health & Safety Group Directive, which ensures the organisation and implementation of health and safety (H&S) standards in the RWE Group. Health and safety is a central element of RWE's sustainability policy. RWE undertakes to meet the legal H&S requirements and, by continuously improving processes, to prevent work-related injuries and illnesses of employees and provide safe and healthy workplaces.

Ecological Sustainability

11. Does your company have a national/international (certified) environmental management system?

Yes, to control our activities related to the environment, we have set up an environmental management system in affiliate companies that have operating facilities, for example. The environmental management system has 100% coverage. We follow the international environmental standard ISO 14001 while factoring in the respective country-specific and statutory requirements. Environmental auditors assess our environmental performance on a regular basis, identify associated risks and opportunities and use these results in order to bring about systematic improvements. Our goal is to have 100% of the environmental management systems been certified either by external or internal audits.

The RWE group companies are obliged to establish, implement, maintain and improve an environmental management system in line with ISO 14001:2015, taking into account country-specific and legal requirements, which enables them to effectively manage the environmental aspects arising from their business activities.

Once a year, RWE AG checks if the required environmental management system according to ISO 14001:2015 has been implemented in the group companies in the area of application of this directive. This is done by requesting the certificate from companies certified by an accredited body or by an audit of RWE AG in non-certified companies.

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These audits are planned and carried out by the Environmental Management Officer of RWE AG or, in the case of role conflicts, by other internal auditors.

12. Water resources: does your company identify its water resources and assess external supply- and quality risks related to these resources?

Yes, RWE's operations that affect or may affect water bodies are recorded in view of their contact with water bodies and the type of effect on the water. Based on the existing permits, thresholds and reports as well as operational incidents in the previous year, we record and evaluate the environmental impacts for surface water and groundwater. We then adapt our risk-minimisation and accident-prevention measures according to the results of these evaluations.

Water is vital and a limited resource. Power generation can impair the condition of water bodies and sources and thus negatively affect the environment and society. As an industrial company that uses water in its plants, we see it as our duty to use water responsibly. This applies to both water consumption and water use during the extraction of and discharges into surface water or groundwater. We want to prevent or – if this is not possible – minimise the adverse effects of our operations on water bodies and ecosystems. We ensure that procedures, including supplying our thermal power plants with cooling water and keeping our open-cast mines dry by extracting groundwater, are as sparing as possible. We offset unavoidable consequences as best we can.

13. Does your company have a wastewater treatment system in place?

Yes, RWE has its own internal wastewater treatment system. The regulatory authorities set control values to restrict the concentration of pollutants. These values are monitored using internal control systems. In addition to internal monitoring, official third-party monitoring ensures that any potential contamination is prevented in many areas.

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Complying with the permitted control values ensures that wastewater discharges do not conflict with surface water management objectives.

14. Does your company have a waste management system in place?

Yes. Besides using raw materials sustainably, using resources responsibly is also part of sustainable waste management. We therefore, fulfil, the necessary permit requirements. We prevent wastewater and waste as best we can, while unavoidable waste is properly disposed of in line with statutory requirements.

Our policy for managing waste is to prevent, reuse, recycle and eliminate. Preventing waste is the top priority. To do this, we examine our options for preventing waste in the relevant areas so that potential measures to reduce waste can be incorporated at the planning and procurement stage.

Comprehensive waste management ensures that all waste generated is disposed of properly in line with statutory requirements. A distinction is made between hazardous and non-hazardous waste, as well as between recycling and eliminating waste in the disposal. This is taken into account for further disposal. Disposal information systems are used to handle disposal services; these systems ensure that the applicable statutory and contractual conditions are adhered to in the disposal of generated waste.

Residual materials from our coal-fired power stations make up the majority of the waste generated. The ash from our hard coal-fired power stations and gypsums produced during flue gas desulphurisation are sold as power plant by-products. The ash from our lignite-fired power plants is 100% eliminated in our landfill sites for residual materials from power plants. Further waste is also generated in our businesses, which is also handled by the waste management system. We handle residual materials and waste from our power plants, generated during their operation as well as in the process of dismantling power plants, in line with statutory requirements.